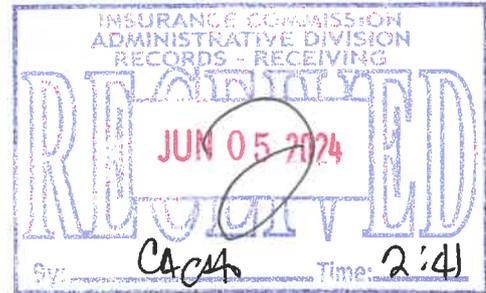




**RECEIVING COPY**

05 June 2024

**THE HONORABLE REYNALDO REGALADO**  
Commissioner  
**INSURANCE COMMISSION**  
1071 United Nations Avenue,  
Metro Manila



Attn: **ANTI-MONEY LAUNDERING AND CORPORATE GOVERNANCE DIVISION**

Re : **2024 General Information Sheet**

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Dear Hon. Commissioner Regalado:

In compliance with IC CL No. 2015-19, we hereby submit the General Information Sheet of AXA Philippines Life and General Insurance Corporation ("AXA Philippines") for the year 2024 duly received and finally accepted by the Securities and Exchange Commission on 31 May 2024.

We trust that you will find everything in order.

Very Truly Yours,

**AXA PHILIPPINES**

By:

  
**PHILIP JASON GAYDA**  
Senior Legal Manager



# SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City  
1209 T-Unit, Line No: 02 5222-7696 Email Us: [www.sec.gov.ph](http://www.sec.gov.ph) / [message.mc@sec.gov.ph](mailto:message.mc@sec.gov.ph)



**The following document has been received:**

**Receiving:** ARIEL FETALVO

**Receipt Date and Time:** May 31, 2024 01:05:32 PM

## Company Information

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**SEC Registration No.:** 0000021938

**Company Name:** PHILIPPINE AXA LIFE INSURANCE CORPORATION DOING BUSINESS UNDER THE NAME AND STYLE OF AXA PHILIPPINES, PHILIPPINE AXA LIFE, AXA LIFE PHILIPPINES, AXA LIFE INSURANCE PHILIPPINES,

**Industry Classification:** J67010

**Company Type:** Stock Corporation

## Document Information

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**Document ID:** OST10531202482672916

**Document Type:** General Information Sheet

**Document Code:** GIS

**Period Covered:** April 30, 2024

**Submission Type:** Annual Meeting

**Remarks:** None

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Acceptance of this document is subject to review of forms and contents

**GENERAL INFORMATION SHEET (GIS)**

FOR THE YEAR 2024

**STOCK CORPORATION**

**GENERAL INSTRUCTIONS:**

- FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
- IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
- THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
- THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
- SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
- ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
- THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> AXA PHILIPPINES LIFE AND GENERAL INSURANCE CORPORATION		<b>DATE REGISTERED:</b> December 4, 1962	
<b>BUSINESS/TRADE NAME:</b> "AXA PHILIPPINES", "PHILIPPINE AXA", and "AXA"		<b>FISCAL YEAR END:</b> December 31	
<b>SEC REGISTRATION NUMBER:</b> 21938		<b>CORPORATE TAX IDENTIFICATION NUMBER:</b> 000-485-226-000	
<b>DATE OF ANNUAL MEETING PER BY-LAWS:</b> Any day in April		<b>WEBSITE/URL ADDRESS:</b> <a href="http://www.axa.com.ph">www.axa.com.ph</a>	
<b>ACTUAL DATE OF ANNUAL MEETING:</b> 30-Apr-2024		<b>E-MAIL ADDRESS:</b> <a href="mailto:legal@axa.com.ph">legal@axa.com.ph</a>	
<b>COMPLETE PRINCIPAL OFFICE ADDRESS:</b> 34F GT Tower International, 6813 Ayala Ave. corner H.V. Dela Costa Street, Makati City, 1227 Metro Manila, Philippines		<b>FAX NUMBER:</b> +632 403 2536	
<b>OFFICIAL E-MAIL ADDRESS:</b> <a href="mailto:dandavidvincent.antonio@axa.com.ph">dandavidvincent.antonio@axa.com.ph</a>	<b>ALTERNATE E-MAIL ADDRESS:</b> <a href="mailto:legal@axa.com.ph">legal@axa.com.ph</a>	<b>OFFICIAL MOBILE NUMBER:</b> 0919-066-2016	<b>ALTERNATE MOBILE NUMBER:</b> 0919-0895-098
<b>NAME OF EXTERNAL AUDITOR &amp; ITS SIGNING PARTNER:</b> SYCIP GORRES VELAYO & Co., and Glenda Anisco-Nino		<b>SEC ACCREDITATION NUMBER (if applicable):</b> 0012-FR-3	<b>TELEPHONE NUMBER(S):</b> +632 885 0101
<b>PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:</b> LIFE AND GENERAL INSURANCE		<b>INDUSTRY CLASSIFICATION:</b> INSURANCE	<b>GEOGRAPHICAL CODE:</b> N.A.
===== INTERCOMPANY AFFILIATIONS =====			
<b>PARENT COMPANY</b>		<b>ADDRESS</b>	
	N.A.		N.A.
<b>SUBSIDIARY/AFFILIATE</b>	<b>SEC REGISTRATION NO.</b>	<b>ADDRESS</b>	
N.A.	N.A.		N.A.

NOTE: USE ADDITIONAL SHEET IF NECESSARY

**GENERAL INFORMATION SHEET**

**STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

**Corporate Name:** AXA PHILIPPINES LIFE AND GENERAL INSURANCE CORPORATION

**A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)**       **Yes**       **No**

**Please check the appropriate box:**

<p><b>1.</b></p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p>	<p><b>4.</b> <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>
<p><b>2.</b></p> <p><input checked="" type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p>	<p><b>5.</b> <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p>
<p><b>3.</b></p> <p><input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p>	<p><b>6.</b> Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p>
<p><b>7.</b> Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p>	<p><b>8.</b> <input type="checkbox"/> None of the above</p>
<p><b>8.</b> Describe nature of business:</p>	<p> </p>

**B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?**       **Yes**       **No**

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME: AXA PHILIPPINES LIFE AND GENERAL INSURANCE CORPORATION**

**CAPITAL STRUCTURE**

**AUTHORIZED CAPITAL STOCK 2,000,000,000.00**

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
	Common	20,000,000	100.00	2,000,000,000.00
<b>TOTAL</b>		<b>20,000,000</b>	<b>TOTAL P</b>	<b>2,000,000,000.00</b>

**SUBSCRIBED CAPITAL**

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	41	Common	5,499,896	149,105	100.00	549,989,600.00	55.00%
		Treasury	10,000,207		100.00	1,000,020,700.00	
<b>TOTAL</b>			<b>15,500,103</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>1,550,010,300.00</b>	

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
French	1	Common	4,499,847		100.00	449,984,700.00	45.00%
British	2	Common	20		100.00	2,000.00	
Australian	1	Common	10		100.00	1,000.00	
Spanish	2	Common	20		100.00	2,000.00	
<b>Percentage of Foreign Equity : TOTAL</b>			<b>4,499,897</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>449,989,700.00</b>	
<b>TOTAL SUBSCRIBED P</b>						<b>2,000,000,000.00</b>	

**PAID-UP CAPITAL**

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	41	Common	5,499,896	100.00	549,989,600.00	55.00%
		Treasury	10,000,207	100.00	1,000,020,700.00	
<b>TOTAL</b>			<b>15,500,103</b>	<b>TOTAL P</b>	<b>1,550,010,300.00</b>	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
French	1	Common	4,499,847	100.00	449,984,700.00	45.00%
British	2	Common	20	100.00	2,000.00	
Australian	1	Common	10	100.00	1,000.00	
Spanish	2	Common	20	100.00	2,000.00	
<b>TOTAL</b>			<b>4,499,897</b>	<b>TOTAL P</b>	<b>449,989,700.00</b>	
<b>TOTAL PAID-UP P</b>					<b>2,000,000,000.00</b>	

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

## GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME: AXA PHILIPPINES LIFE AND GENERAL INSURANCE CORPORATION**

### DIRECTORS / OFFICERS

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. Solomon S. Cua [REDACTED]	Filipino	N	C	M	Y	Chairman	C, C/M, A/M, N/M	[REDACTED]
2. Fernand Antonio A. Tansingco [REDACTED]	Filipino	N	M	M	Y	Vice Chairman	M	[REDACTED]
3. Bernardo Rafael Serrano Lopez [REDACTED]	Spanish	N	M	M	Y	President & Chief Executive Officer	M	[REDACTED]
4. Alesandra T. Ty [REDACTED]	Filipino	N	M	F	Y	Treasurer		[REDACTED]
5. Ramon Jaime L.V. Del Rosario [REDACTED]	Filipino	N	M	M	Y		M	[REDACTED]
6. Carmelo Maria L. Bautista [REDACTED]	Filipino	N	M	M	Y		M	[REDACTED]
7. Gordon T. Watson [REDACTED]	British	N	M	M	Y			[REDACTED]
8. Sally O'Hara [REDACTED]	Australian	N	M	F	Y		M, A/M	[REDACTED]
9. Hassan El Shabrawishi [REDACTED]	Spanish	N	M	M	Y		M, C/C, N/C	[REDACTED]
10. Peter James Crewe [REDACTED]	British	N	I	M	Y		A/C, N/M, C/M	[REDACTED]
11. Rowel D. Vijandre [REDACTED]	Filipino	N	I	M	Y		A/M, C/M, N/M	[REDACTED]

**INSTRUCTION:**

FOR GENDER COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

## GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:**

**AXA PHILIPPINES LIFE AND GENERAL INSURANCE CORPORATION**

### DIRECTORS / OFFICERS

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
12. Renee Lynn Miciano-Atienza [REDACTED]	Filipino	N		F	N	Corporate Secretary		[REDACTED]
13. Dan David Vincent D. Antonio [REDACTED]	Filipino	N		M	N	Assistant Corporate Secretary		[REDACTED]
14. Gael Georges Ann Lapie [REDACTED]	French	N		M	N	Chief Financial Officer		[REDACTED]
15. Ayman Hamed Abdelghaffar Mohamed Kandil [REDACTED]	Egyptian	N		M	N	Chief Distribution Officer		[REDACTED]
16. Fernando Villar [REDACTED]	Filipino	N		M	N	Chief Marketing Officer		[REDACTED]
17. Sharon C. Hernandez [REDACTED]	Filipino	N		F	N	Chief Human Resources Officer		[REDACTED]
18. Kathleen C. Villegas-Driz [REDACTED]	Filipino	N		F	N	Chief Operations Officer		[REDACTED]
19. Carlos Santos [REDACTED]	Filipino	N		M	N	Chief Transformation & Technology Officer		[REDACTED]
20. Luis Saranglao [REDACTED]	Filipino	N		M	N	Head of Internal Audit		[REDACTED]

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

**GENERAL INFORMATION SHEET**

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:** AXA PHILIPPINES LIFE AND GENERAL INSURANCE CORPORATION

<b>TOTAL NUMBER OF STOCKHOLDERS:</b> 46	<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b> 20
---	---

<b>TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:</b>	177,843,054,893.00
---	--------------------

**STOCKHOLDER'S INFORMATION**

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
1 AXA ASIA French [REDACTED]	Common	4,499,907	449,990,700.00	45.00%	449,990,700.00	[REDACTED]
	<b>TOTAL</b>	<b>4,499,907</b>	<b>449,990,700.00</b>			
2. First Metro Investment Corporation Filipino [REDACTED]	Common	2,817,889	281,788,900.00	28.18%	281,788,900.00	[REDACTED]
	<b>TOTAL</b>	<b>2,817,889</b>	<b>281,788,900.00</b>			
3. GT Capital Holdings, Inc. Filipino [REDACTED]	Common	2,532,892	253,289,200.00	25.33%	253,289,200.00	[REDACTED]
	<b>TOTAL</b>	<b>2,532,892</b>	<b>253,289,200.00</b>			
4. James Go Filipino [REDACTED]	Common	132,944	13,294,400.00	1.33%	13,294,400.00	[REDACTED]
	<b>TOTAL</b>	<b>132,944</b>	<b>13,294,400.00</b>			
5. David T. Go Filipino [REDACTED]	Common	7,451	745,100.00	0.07%	745,100.00	[REDACTED]
	<b>TOTAL</b>	<b>7,451</b>	<b>745,100.00</b>			
6. Joel Castillo Jr. Filipino [REDACTED]	Common	2,900	290,000.00	0.03%	290,000.00	[REDACTED]
	<b>TOTAL</b>	<b>2,900</b>	<b>290,000.00</b>			
7. Roberto Benedicto Filipino [REDACTED]	Common	237	23,700.00		23,700.00	[REDACTED]
	<b>TOTAL</b>	<b>237</b>	<b>23,700.00</b>			

**TOTAL AMOUNT OF SUBSCRIBED CAPITAL**

**TOTAL AMOUNT OF PAID-UP CAPITAL**

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*

**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b>		<b>AXA PHILIPPINES LIFE AND GENERAL INSURANCE CORPORATION</b>	
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>	46	<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b>	20
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>		177,843,054,893.00	

**STOCKHOLDER'S INFORMATION**

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
8. Paulyn Castro Filipino ████████████████████	Common	452	45,200.00		45,200.00	██████████
	<b>TOTAL</b>	<b>452</b>	<b>45,200.00</b>			
9. Ty Siao Keng Filipino ████████████████████	Common	193	19,300.00		19,300.00	██████████
	<b>TOTAL</b>	<b>193</b>	<b>19,300.00</b>			
10. Others  Shareholders owning a total of 4928 shares or an average of 100.00 shares each	Common	4,928	492,800.00		492,800.00	
	<b>TOTAL</b>	<b>4,928</b>	<b>492,800.00</b>			
11. AXA PHILIPPINES LIFE AND GENERAL INSURANCE CORPORATION	Treasury	10,000,207	1,000,020,700.00		1,000,020,700.00	
	<b>TOTAL</b>		<b>1,000,020,700.00</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>2,000,000,000.00</b>			
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>					<b>2,000,000,000.00</b>	

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

**Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.**



**GENERAL INFORMATION SHEET**

**STOCK CORPORATION**

PLEASE PRINT LEGIBLY			
<b>CORPORATE NAME: AXA PHILIPPINES LIFE AND GENERAL INSURANCE CORPORATION</b>			
<b>1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION</b>	<b>AMOUNT (PhP)</b>	<b>DATE OF BOARD RESOLUTION</b>	
1.1 STOCKS	1,604,743,496.48		
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	1,527,178,350.08		
1.3 LOANS/ CREDITS/ ADVANCES	N/A		
1.4 GOVERNMENT TREASURY BILLS	0.00		
1.5 OTHERS	13,863,634.34		
<b>2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)</b>	<b>DATE OF BOARD RESOLUTION</b>	<b>DATE OF STOCKHOLDERS RATIFICATION</b>	
<b>3. TREASURY SHARES</b>	<b>NO. OF SHARES</b>	<b>% AS TO THE TOTAL NO. OF SHARES ISSUED</b>	
	10,000,207.00		
<b>4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR</b>			
<b>5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:</b>			
<b>TYPE OF DIVIDEND</b>	<b>AMOUNT (PhP)</b>	<b>DATE DECLARED</b>	
5.1 CASH	1,000,000,000.00	11-Dec-23	
5.2 STOCK	0.00		
5.3 PROPERTY	0.00		
<b>TOTAL</b>	1,000,000,000.00		
<b>6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:</b>			
<b>DATE</b>	<b>NO. OF SHARES</b>	<b>AMOUNT</b>	
N.A.	N.A.	N.A.	
<b>SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:</b>			
<b>NAME OF AGENCY:</b>	SEC	B S P	I C
<b>TYPE OF LICENSE/REGN.</b>			Certificate of Authority No. 2022/89-0
<b>DATE ISSUED:</b>			28-Dec-22
<b>DATE STARTED OPERATIONS:</b>			1963
<b>TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)</b>	<b>TOTAL NO. OF OFFICERS</b>	<b>TOTAL NO. OF RANK &amp; FILE EMPLOYEES</b>	<b>TOTAL MANPOWER COMPLEMENT</b>
P10,370,001.00	11	1,327	2,346

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, **DAN DAVID VINCENT D. ANTONIO**, Assistant Corporate Secretary of **AXA PHILIPPINES LIFE AND GENERAL INSURANCE CORPORATION** declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

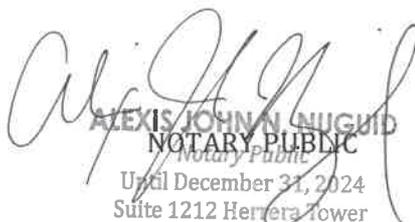
I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done this MAY 27 2024 day of \_\_\_\_\_, 20\_\_ in MAKATI CITY.

  
**DAN DAVID VINCENT D. ANTONIO**  
(Signature over printed name)

MAKATI CITY MAY 27 2024  
**SUBSCRIBED AND SWORN TO** before me in \_\_\_\_\_ on \_\_\_\_\_ by affiant who personally appeared before me and exhibited to me his competent evidence of identity consisting of Unified Multi-Purpose ID. [REDACTED]

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**ALEXIS JOHN N. NIGUID**  
NOTARY PUBLIC  
Notary Public  
Until December 31, 2024  
Suite 1212 Herrera Tower  
V.A Rufino cor. Valero Sts., Makati City  
Commission No. M-244; Roll No. 74190  
PTR No. 10073968/01.02.24; Makati City  
IBP No. 380917/12.29.23; Calmana  
MCLE Compliance; No. VII-0024198/11.15.2022

**BENEFICIAL OWNERSHIP DECLARATION**

SEC REGISTRATION NUMBER

21938

CORPORATE NAME:

AXA PHILIPPINES LIFE AND GENERAL INSURANCE CORPORATION

**Instructions:**

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

<u>Category</u>	<u>Description</u>
<b>A</b>	Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
<b>B</b>	Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
<b>C</b>	Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
<b>D</b>	Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
<b>E</b>	Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
<b>F</b>	Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
<b>G</b>	Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
<b>H</b>	Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
<b>I</b>	Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP <sup>1</sup> / % OF VOTING RIGHTS <sup>2</sup>	TYPE OF BENEFICIAL OWNER <sup>3</sup> Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
Solomon Sly Cus		Filipino			N/A	I	I- Chairman, Board of Directors
Fernand Antonio Albanza Tansingco		Filipino			N/A	I	I - Vice Chairman, Board of Directors
Bernardo Rafael Serrano Lopez		Spanish			N/A	I	I- President & Chief Executive Officer
Ramon Jaime Lardizabal Vivencio Del Rosario		Filipino			N/A	I	I- Member Board of Directors
Carmelo Maria Luza Bautista		Filipino			N/A	I	I- Member Board of Directors
Alessandra Ty Ty		Filipino			N/A	I	I- Treasurer Board of Directors
Gordon Timmins Watson		British			N/A	I	I- Member Board of Directors
Sally O'Hara		Australian			N/A	I	I- Member Board of Directors
Hassan El Shabrawishi		Spanish			N/A	I	I-Member Board of Directors
Peter James Crewe		British			N/A	I	I- Independent Director Board of Directors
Rowel Dimaano Vijandre		Filipino			N/A	I	I- Independent Director Board of Directors

Note: This page is not for uploading on the SEC iView.

<sup>1</sup> For Stock Corporations.

<sup>2</sup> For Non-Stock Corporations.

<sup>3</sup> For Stock Corporations.